

### **Attachment 3**

#### **Tender Offer Revocation Procedures and Forms**

Attachment 3.1 Tender Offer Revocation Procedure

Attachment 3.2 Tender Offer Revocation Form of Union Textile Industries Public Company Limited

Attachment 3.3 Power of Attorney

Attachment 3.4 Application for Withdrawing from the participant account

Attachment 3.5 Securities Transfer To-Form Issuer Account

Attachment 3.6 Application for Amending Securities Holder Records

## Tender Offer Revocation Procedures

**Securities Holder of  
UNION TEXTILE INDUSTRIES PUBLIC COMPANY LIMITED**

**1. Fill in the Tender Offer Revocation Form and attach the Application for Withdrawing/ Issuer Account Transfer Form**

**2. Enclose the following supporting documents certified as true copies, as the case may be:**

**1) Thai Individual**

- A copy of a valid Identification Card or

- A copy of a valid Civil Servant Identification Card or State Enterprise Employee Card and a copy of the house registration

(If the Offeree is a minor child, the Offeree must attach a consent of their guardian (father and mother), a certified true copy of the guardian's Identification Card, a certified true copy of the guardian's and the minor child's house registration.)

In the case there exists name, title, or surname discrepancy between that which appears on the securities certificate and that which appears on the Identification Card, the Civil Servant Identification Card or the State Enterprise Employee Card, the Offeree has to fill in the "Amending Securities Holder Record" of TSD (as in Attachment 2 (5)) to be submitted with a copy of evidence of such correction issued by the government authority certified as a true copy. The new information must conform to the Identification Card, the Civil Servant Identification Card or the State Enterprise Employee Card, whichever the case may be.

**2) Foreign Individual**

- A copy of a valid Alien Card or Passport

**3) Thai Juristic Person**

3.1) A copy of the company affidavit issued by the Ministry of Commerce not more than 6 months prior to the submission date of the Revocation Form, together with the company seal (if any) and certified as a true copy by the authorized person(s)

3.2) A copy of each of the documents as specified in 1) or 2), whichever the case may be, of the authorized person(s) who certified the document in 3.1)

**4) Foreign Juristic Person**

4.1) A copy of a certificate of incorporation or an affidavit and a certificate letter issued not more than 1 year prior to the submission date of the Revocation Form by the officer of the juristic person or the government authority of the country in which the juristic person is located, certifying the name of the juristic person, the authorized person(s), the location of the head office and the power or conditions of signing authorization, list of the authorized person(s), specimen signature(s) and the Power of Attorney Form (if any), all of which are certified as true copies by the authorized person(s) of the juristic person

4.2) A copy of each of the documents as specified in 1) or 2), whichever the case may be, of the authorized person(s) who certified the document in 4.1) the documents in 4.1) and 4.2) must be certified by the Notary Public or any other authorized organization of the country in which the documents are made or certified, and the signature and seal of the Notary Public or any other authorized organization shall be certified by an official of the Thai Embassy or Consulate in the country in which the documents are prepared or certified, and all documents must be issued no more than one year prior to the submission date of the Revocation Form.

**5) Attach Baht 100 for security transfer fee per transaction or Baht 100 for security withdrawal fee per transaction, whichever the case may be.**

**6) Additional documents in case of appointment of an attorney**

1. Power of attorney affixed with a Baht 30 stamp duty; and

2. An additional set of the identification documents of the authorized person(s) and security holder as specified above certified as true copies

**3. Please submit all documents to**

Please contact Khun Chainarong Boonchusanong or Khun Charun Suksakon or Operations Department, UOB Kay Hian Securities (Thailand) Plc.,  
130 -132, 3rd Floor, Sindhorn Building Tower I, Wireless Road, Lumpini, Patumwan, Bangkok 10330  
Tel: 0-2659-8000 ext. 8264, 8226

Tender Offer Revocation Form of UNION TEXTILE INDUSTRIES PUBLIC COMPANY LIMITED - UT

Revocation No. ....

To SAHA-UNION PUBLIC COMPANY LIMITED ("The Offeror") and UOB Kay Hian Securities (Thailand) Plc. ("The Tender Offer Agent")

Date .....

I/We (Mr./Mrs./Ms./Company) ..... Nationality .....
Contract Address (that can be reached via registered mail) .....
Postal Code ..... Telephone No. .... Fascimile .....

Please specific type of seller

- Thai Individual ID Card/Civil Servant ID Card/State Enterprise Card No. ....
Foreign Individual Alien Card/Passport No. ....
Thai Juristic Person Company Registration No. ....
Foreign Juristic Person that Operates Business in Thailand Company Registration No. ....
Foreign Juristic Person that Does Not Operate Business in Thailand Company Registration No. ....

hereby cancel the intention to sell [ ] Ordinary [ ] NVDR [ ] Preferred Share of UNION TEXTILE INDUSTRIES PLC. according to the cancellation condition as specified in the Tender Offer document in the amount of ..... shares (.....) of UNION TEXTILE INDUSTRIES PLC.

I/We have submitted the Tender Offer Acceptance Form submitted on (Date)..... Acceptance form no .....

I/We would like to receive such securities/NVDR return in a form of:

[ ] The share certificate (Exclude NVDR) which I/we will contact to receive the share certificate by myself/ourselves at Operation Department, UOB Kay Hian Securities (Thailand) Plc., 130 -132, 3rd Floor, Sindhorn Building Tower I, Wireless Road, Lumpini, Patumwan, Bangkok 10330 (within 30 business days on the business day following the submission date of the Tender Offer Revocation Form. The Offerees must attach the application for withdrawing together with identity documents and have to pay a Baht 100 fee to the Tender Offer Agent.)

[ ] Scripless shares transfer through TSD and deposit such securities for Participant name.....Participant no ..... to deposit those securities in securities trading account no..... Securities Trading Account Name ..... (on the business day following the submission date of the Tender Offer Revocation Form). I/We hereby pay Baht 100 for securities transferring fee per transaction.

[ ] Securities (Exclude NVDR) transfer through issuer account for account number 600 by attaching the TSD's securities transfer request form (Attachment 3.5) with identification documents and pay the transfer fee of 100.- baht per transaction to the Tender Offer Agent.

UOB Kay Hian Securities (Thailand) Plc. will transfer such securities into the securities trading account or issuer account for account number 600 on the next business day following the date on which the Offeree or the Attorney submits the Tender Offer Revocation Form and complete supporting documents as specified in the Tender Offer document.

I/We hereby agree and accept all of the above terms and conditions.

Signed..... Proxy
(.....)

Signed.....Offeree/ Canceled
(.....)



Delivery of the Securities Certificate

UOB Kay Hian Securities (Thailand) Plc. as the Tender Offer Agent, has already returned the securities certificate as described above to the Offeree who express the intention to cancel the tender offer and the Offeree has already received the securities certificate

Signed..... Tender Offer Agent
(.....)

Signed..... Recipient / Canceled
(.....)

Receipt of Tender Offer Revocation Form of UNION TEXTILE INDUSTRIES PUBLIC COMPANY LIMITED

Revocation No.....

UOB Kay Hian Securities (Thailand) Plc. has received Tender Offer Revocation Form of [ ] Ordinary [ ] NVDR [ ] Preferred Share of UNION TEXTILE INDUSTRIES PUBLIC COMPANY LIMITED in amount of ..... shares from

(Mr. /Mrs./ Miss /Company) ..... and the Receipt of Tender Offer Acceptance

Form No. .... Such securities will be returned to the Offeree on the business day following the submission date of the Tender Offer Revocation Form in form of:

- [ ] The share certificate which I/we will contact to receive the share certificate by myself/ourselves at Operation Department, UOB Kay Hian Securities (Thailand) Plc.,
[ ] Scripless shares transfer through TSD and deposit such securities for Participant name ..... Participant No. .... to deposit those securities in securities trading account no..... Securities Trading Account Name ..... (within the next business day)
[ ] Securities (Exclude NVDR) transfer through issuer account for account number 600

Signed..... Tender Offer Agent

Please contact Khun Chainarong Boonchusanong or Khun Charun Suksakon or Operations Department, UOB Kay Hian Securities (Thailand) Plc., 130 -132, 3rd Floor, Sindhorn Building Tower I, Wireless Road, Lumpini, Patumwan, Bangkok 10330

Tel: 0-2659-8000 ext. 8264, 8226



POWER OF ATTORNEY
For Tender Offer Revocation

At .....

Date .....

I/We..... Age..... Nationality .....

ID Card/Alien Card/Passport ID No. ....Residing at (address that can be reached by mail)

.....

Postal code..... Country ..... as the holder of

[ ] Ordinary [ ] NVDR [ ] Preferred Share of UNION TEXTILE INDUSTRIES PLC. in the amount of ..... shares
hereby authorize..... Age..... Nationality .....

ID Card/Alien Card/Passport ID No. .... Residing at (address that can be reached by mail)

.....

Postal code..... Country..... to act as my attorney to cancel my/our

intention to revoke the tender offer of securities of UNION TEXTILE INDUSTRIES PLC. As above through UOB Kay Hian
Securities (Thailand) Plc. ("Tender Offer Agent") and to be authorized to do and execute all such other
matter in connection with the aforesaid mentioned on my/our behalf until its completion

Any act taken by my/our attorney shall be deemed to be taken by myself/ourselves in every respect.

Signature ..... Grantor
( ..... )

Signature ..... Proxy
( ..... )

Signature ..... Witness
( ..... )

Signature ..... Witness
( ..... )

Note: 1.Certified true copies of ID or Certificate of Incorporation of the Grantor and authorized representative are required to be attached herewith.
2. A power of attorney executed with 10-baht stamp duty affixed in case of authorizing to perform the submission of Tender Offer Acceptance Form for NVDR only, or 30-baht stamp
duty affixed in case of authorizing to perform both the submission of Tender Offer Acceptance Form for NVDR and receiving a cheque for the payment of ordinary shares



แบบคำขอลถอนหลักทรัพย์ จากบัญชีสมาชิกผู้ฝากหลักทรัพย์

Application for Withdrawing from the participant account

Attachment 3.4

สำหรับเจ้าหน้าที่ (For official use only)

วันที่ (Date) .....

เลขที่รายการ (Transaction No.).....

ผู้ตรวจรับ (Checker).....

- ชื่อหลักทรัพย์..... ชื่อย่อหลักทรัพย์.....  
Securities name Securities symbol
- ชื่อผู้ถือหลักทรัพย์ บริษัท ศูนย์รับฝากหลักทรัพย์ (ประเทศไทย) จำกัด บัญชี  บริษัท ศูนย์รับฝากหลักทรัพย์ (ประเทศไทย) จำกัด เพื่อผู้ฝาก  
Name of securities holder TSD account  THAILAND SECURITIES DEPOSITORY COMPANY LIMITED FOR DEPOSITOR
- ชื่อเจ้าของหลักทรัพย์.....  
Name of owner of securities (ตัวบรรจง) (print)
  - เลขบัตรประจำตัวประชาชน/เลขทะเบียนบริษัท..... สัญชาติ..... อาชีพ.....  
(Identification number / Company registration No Nationality Occupation)
  - ที่อยู่สำหรับการจัดส่งเอกสาร..... รหัสไปรษณีย์.....  
Address Postal code
  - โทรศัพท์บ้าน..... โทรศัพท์ที่ทำงาน..... โทรศัพท์เคลื่อนที่..... โทรสาร..... อีเมล.....  
Home phone Office phone Mobile phone Fax no. email address
- จำนวนหลักทรัพย์ที่ขอให้ออกใบหลักทรัพย์..... หุ้น / หน่วย ตัวอักษร..... หุ้น / หน่วย  
Number of securities to be issued new securities certificates Shares/unit Amount of share in letters Shares/unit
- กรณีหลักทรัพย์ประเภทหน่วยลงทุน โปรดระบุเงื่อนไขการหักภาษี ณ ที่จ่าย  หักภาษี ณ ที่จ่าย  ไม่หักภาษี ณ ที่จ่าย  
In case of unit trusts, please indicate the condition of withholding tax:  Withholding tax  Non-withholding tax

ลงชื่อ.....เจ้าของหลักทรัพย์  
Signature (.....) Securities owner

ข้าพเจ้า บริษัท.....สมาชิกผู้ฝากเลขที่.....ขอรับรองว่าข้อความที่กล่าวข้างต้นเป็นความจริง อนึ่งในการที่บริษัท ศูนย์รับฝากหลักทรัพย์ฯ จำกัด ออกใบหลักทรัพย์ให้แก่เจ้าของหลักทรัพย์ตามที่ระบุไว้ในคำร้องนี้ หากจะมีหรือก่อให้เกิดความเสียหายใด ขึ้นแก่บริษัท ศูนย์รับฝากหลักทรัพย์ฯ จำกัด ไม่ว่าด้วยประการใด ๆ ข้าพเจ้าขอรับผิดชอบและชดเชยให้แก่บริษัท ศูนย์รับฝากหลักทรัพย์ฯ จำกัด จนครบถ้วน I /We Securities depositor, hereby certifies that the aforementioned statement is true. In case the TSD issues the certificate (s) to the owner of securities an specified in this application and should there be any damages resulting from such issuance of certificate(s), I/We shall be held responsible and prepared to compensate the TSD for all the damages

ลงชื่อ.....ผู้มีอำนาจลงนาม  
Signature Authority



เอกสารประกอบการถอนหลักทรัพย์ จากบัญชีสมาชิกผู้ฝากหลักทรัพย์

Document submitted for Withdrawing from the participant account

ประเภทบุคคล Type of person	เอกสารแสดงตน Submitted documents for Withdrawing from the participant account
บุคคลธรรมดา - สัญชาติไทย Individual Person - Thai Nationality	สำเนาบัตรประจำตัวประชาชน ลงลายมือชื่อรับรองสำเนาถูกต้องโดยเจ้าของบัตร A copy of the person's Thai national I.D. card, certified true with an original signature.
บุคคลธรรมดา - สัญชาติอื่น Individual Person - Other Nationalities	สำเนาใบต่างด้าว หรือสำเนาหนังสือเดินทาง ลงลายมือชื่อรับรองสำเนาถูกต้องโดยเจ้าของเอกสาร A copy of the signatory's alien identity card or passport, certified true with an original signature
นิติบุคคล - สัญชาติไทย Juristic Person - Thai Nationality	1. สำเนาหนังสือรับรองของกระทรวงพาณิชย์ (ซึ่งออกไม่เกิน 6 เดือน) ลงลายมือชื่อรับรองโดยกรรมการผู้มีอำนาจลงนามผูกพันนิติบุคคลนั้น A copy of the juristic person certificate issued by the Ministry of Commerce within the past 6 months, with the original signatures of directors authorized to represent the company or their representatives, following the company's conditions. 2. สำเนาบัตรประจำตัวประชาชน/ใบต่างด้าว/หนังสือเดินทาง ของกรรมการผู้มีอำนาจลงนามผูกพันนิติบุคคลลงลายมือชื่อรับรองสำเนาถูกต้องโดยเจ้าของเอกสาร A copy of the national I.D. card/alien identity card/passport of directors who are authorized to represent the company, certified true with original signatures.
นิติบุคคล - สัญชาติอื่น Juristic Person - Other Nationalities	1. สำเนาหนังสือรับรองการจดทะเบียนบริษัท ซึ่งออกโดยหน่วยงานราชการที่มีอำนาจของประเทศซึ่งนิติบุคคลนั้น มีภูมิลำเนา A copy of the company's registration certificate issued by the regulating government agency. 2. สำเนาหนังสือรับรองรายชื่อกรรมการผู้มีอำนาจลงนามผูกพันนิติบุคคล พร้อมด้วยเงื่อนไขหรืออำนาจในการลงนามผูกพัน และที่ตั้งสำนักงานใหญ่ ซึ่งออกโดยเจ้าหน้าที่ของนิติบุคคลนั้น หรือหน่วยงานราชการที่มีอำนาจของประเทศที่นิติบุคคลนั้นมีภูมิลำเนา A copy of the juristic person's affidavit of incorporation showing directors authorized to bind the company and conditions relating thereto, showing the company's headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the government agency having jurisdiction over the juristic person. 3. สำเนาบัตรประจำตัวประชาชน หรือหนังสือเดินทางของกรรมการผู้มีอำนาจลงนามผูกพันนิติบุคคลนั้น ซึ่งรับรองความถูกต้องโดยเจ้าของเอกสาร A copy of the passport or other official identity document of each authorized director signing this document, certified true with an original signature. <u>กรณีเอกสารได้จัดทำหรือรับรองความถูกต้องในต่างประเทศ ต้องดำเนินการ ดังนี้ All documents for "Other Nationalities" above, must have been :</u> 1) ให้เจ้าหน้าที่ Notary Public หรือหน่วยงานอื่นใดที่มีอำนาจในประเทศที่เอกสารดังกล่าวได้จัดทำหรือรับรองความถูกต้องทำการรับรองลายมือชื่อของผู้จัดทำหรือผู้ให้คำรับรองความถูกต้องของเอกสาร ดังกล่าว The notary public or any competent authority in the country where such documents were prepared or certified correct, shall certify the signature of the person who prepared such documents, or who certified the accuracy of such documents. 2) ให้เจ้าหน้าที่ของสถานทูตไทยหรือกงสุลไทยในประเทศที่เอกสารดังกล่าวได้จัดทำหรือรับรองความถูกต้องทำการรับรองลายมือชื่อและตราประทับของเจ้าหน้าที่ Notary Public หรือหน่วยงานอื่นใดที่ได้ดำเนินการตาม (1) An official of the Thai Embassy or Thai Consulate in the country where such documents were prepared or certified correct, shall certify the signature and the seal of the notary public or any other authority which performed the act under (1) <ul style="list-style-type: none"><li>การรับรองเอกสารต้องไม่เกิน 6 เดือน จนถึงวันที่ยื่นแบบคำขอถอนนายทะเบียน Certified within the past 6 months before being submitted to TSD.</li><li>เอกสารที่จัดทำขึ้นเป็นภาษาต่างประเทศนอกเหนือจากภาษาอังกฤษจะต้องจัดทำคำแปลอย่างน้อยเป็นภาษาอังกฤษ Translated into English if necessary</li></ul>

**TSD-403**

Submitted the documents to broker / custodian



**Application for Securities Transfer between the issuer account and the  
depository participant account**

Attachment 3.5

**For official use only**  
Date \_\_\_\_\_  
Transaction no. \_\_\_\_\_  
Checker \_\_\_\_\_

I/We \_\_\_\_\_ would like to transfer securities of company/mutual fund \_\_\_\_\_  
for a total of \_\_\_\_\_ shares/units amount in letters \_\_\_\_\_ shares/units. (Please select the type of he securities transfer)

<input type="radio"/> From issuer account to broker/custodian account  • Broker or custodian name _____  • Customer account name _____  • Customer account no. _____   Signature _____ Securities Holder ( _____ ) Phone number _____  <div style="border: 1px solid black; padding: 5px; width: fit-content; margin: 10px auto;">Please submitted the documents at broker / custodian</div>	<input type="radio"/> From broker/custodian account to issuer account  <u>Information on securities holder:</u> • National I.D. card/Passport/Company Registration number _____ Nationality _____ Mobile Phone _____ E-mail _____ Mailing address _____ Postcode _____  <u>For an individual Person</u> <i>(If you answer "Yes" for any of the questions, you are an American person pursuant to FATCA law, thus please fill in W-9)</i> 1. Being a American citizen or born in the United States of America <input type="checkbox"/> Yes <input type="checkbox"/> No 2. Having American as a second nationality <input type="checkbox"/> Yes <input type="checkbox"/> No 3. Having permanent residence in the United States of America <input type="checkbox"/> Yes <input type="checkbox"/> No  <u>For a juristic person</u> 1. Being a juristic person registered in the United States of America (if "Yes", please fill in W-9) <input type="checkbox"/> Yes <input type="checkbox"/> No 2. Being a financial institution under FATCA requirements (specify type <input type="radio"/> PFFI, <input type="radio"/> RDCFFI) <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If "Yes", please fill in GIIN</i> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> 3. Being a juristic person with income from investment/indirect income of at least 50 percent of <input type="checkbox"/> Yes <input type="checkbox"/> No  the total income or having income-generating asset of at least 50 percent of the total asset within the latest financial year (if "Yes", please fill in W-8BEN-E) ■ For unit trusts, should withholding tax be deducted? <input type="checkbox"/> Yes, withholding tax is deducted <input type="checkbox"/> No, withholding tax is not deducted In addition, I acknowledge that TSD may /consent, I agree to comply as requested within the time stipulated by TSD. I have thoroughly read all the details specified in the privacy notice of the Stock Exchange of Thailand group ("SET Group") ( <a href="https://www.set.or.th/th/privacy-notice.html">https://www.set.or.th/th/privacy-notice.html</a> ) and acknowledged that my personal data, and any third party's personal data I have provided to TSD (if any) will be processed and protected under such privacy notice. In this regard, I hereby confirm and represent that I have procured such third party to read all the details specified in such privacy notice and have duly obtained a proper and lawful consent from such third party to provide the personal data of the third party to TSD. In addition, I hereby acknowledge that in case of any special action (such as where fingerprint is used instead of signature) which additional information that may contain sensitive personal data is required to be submitted to TSD and such additional information is necessary for the provision of TSD service, not providing such additional information may render TSD to be unable to provide TSD service to me/us. Hence, by signing this application, I/we agree and consent that such sensitive personal data to be processed by TSD in connection with the purpose of the provision of TSD service.  Signature _____ Securities holder ( _____ )  <b>Please submitted the documents at broker / custodian</b>
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**For securities company or custodian registered with Thailand Securities Depository Co., Ltd.**

I \_\_\_\_\_ depository participant number \_\_\_\_\_ have considered and examined the documents and evidence supporting the application for transfer from the securities owner who deposits the securities in the issuer account and hereby warrant that the transfer/acceptance of transfer item specified above is true. Should Thailand Securities Depository Co., Ltd. incur any damage or potential damage as a result of the undertaking specified above, I shall be fully responsible for any damage caused and shall compensate Thailand Securities Depository Co., Ltd. in full.

Signature \_\_\_\_\_ Authorized person  
( \_\_\_\_\_ )

## Supporting Documents for the Application for the Securities Transfer between the Issuer Account and the Depository Participant Account

1. **Application for the Securities Transfer between the Issuer Account and the Depository Participant Account** with the details filled in and signed correctly and completely
2. Identification documents of securities holder and authorized person (if any) pursuant to the type of person with the details as per the table below.
  - In case of other types of person, please ask for additional information at SET Contact Center accordingly to the contact channels further below in the application form or [https://www.set.or.th/tsd/en/download/service\\_form/20210901\\_person\\_juristic\\_EN.pdf](https://www.set.or.th/tsd/en/download/service_form/20210901_person_juristic_EN.pdf)
3. Copy of identification documents submitted to TSD may contain sensitive personal data which is not necessary for the provision of TSD service, and TSD has no intention of collecting such sensitive personal data. As a result, the document owners may proceed to cross out any part in order to cover up any sensitive personal data before submitting the identification documents containing such sensitive personal data to TSD. In case that no action is taken to cover up such sensitive personal data, TSD shall deem that you have given your consent to TSD to the collection of such sensitive personal data.

Type of person	Identification Documents
<b>Individual Person</b> - Thai Nationality	A Copy of Thai ID Card which has been certified as true and correct copy of the original document by the document owner.
<b>Individual Person</b> - Other Nationalities	A Copy of alien identification card / passport which has been certified as true and correct copy of the original document by the document owner.
<b>Juristic Person</b> - Thai Nationality	<ol style="list-style-type: none"> <li>1. A copy of the juristic person registration certificate issued by the Ministry of Commerce issued not more than 6 months before the date of submission as part of this application which has been certified as true and correct copy of the original document by the authorized directors.</li> <li>2. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct copy of the original document by the document owner.</li> </ol>
<b>Juristic Person</b> - Other Nationalities	<ol style="list-style-type: none"> <li>1. A copy of the juristic person registration certificate issued by the regulating government agency in the country where such juristic person is domiciled.</li> <li>2. A copy of the company's affidavit enumerating its directors authorized to bind the company and conditions relating thereto, showing the juristic person's headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the governmental agency in the country where such juristic person is domiciled.</li> <li>3. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct copy of the original document by the document owner.</li> </ol> <p><u>All documents for "Other Nationalities" above, must have been:</u></p> <ol style="list-style-type: none"> <li>(1) notarized by the notary public or certified by any competent authority in the country where such documents were prepared or certified for the certification of the signature of the person who prepared or certified such documents.</li> <li>(2) certified by the Thai Embassy or Thai Consulate in the country where such documents were prepared or certified for the notarization or certification performed by the public notary or such competent authority under (1).           <ul style="list-style-type: none"> <li>▪ The certification must be issued not more than 6 months before the date of submission as part of this application.</li> <li>▪ The documents prepared in any other foreign languages other than English must be translated into English.</li> </ul> </li> </ol>

TSD403\_2/2021\_EN : 20210901







TSD-301

## Application for Amending Securities Holder Records

I/We \_\_\_\_\_ national I.D. card/passport/company Registration number \_\_\_\_\_

hereby request for Thailand Securities Depository Co., Ltd. ("TSD") to amend the following information of the securities holder:

Type of information	Specify Original Information (Pre-Amendment)	Specify the Information Requiring Amendment
<input type="checkbox"/> Honorific (Mr./Mrs./Miss)	➤	➤
<input type="checkbox"/> First name - last name	➤	➤
<input type="checkbox"/> For general contacts	➤	➤
<input type="checkbox"/> Mailing address for the delivery of proxy and meeting invitation documents (principal address) where there is a share balance on the XM date	<input type="checkbox"/> Use the newly changed address above <input type="checkbox"/> Specify the address* _____ * This is the address pursuant to the register notified to TSD or securities depositing member company (broker/custodian) which will then affect the selection of the principal address	
<input type="checkbox"/> Postal code	➤	➤
<input type="checkbox"/> Home/Office telephone No.	➤	➤
<input type="checkbox"/> Mobile phone/Fax No.	➤	➤
<input type="checkbox"/> email address This email address is used for getting the result of amendment from TSD	➤	➤
<input type="checkbox"/> Other.....	➤	➤

- ◆ Amendment of the information will be effective when TSD has received request at least 5 business days prior to the book closing date.  
In an event you deposit the securities with the securities depositing member company (broker/custodian), please contact your securities depositing member company directly for the amendment of any information.
- ◆ Mailing address for the delivery of documents should be convenient for the purpose of document receipt via registered mail, but the address must not be specified as a post office box as stipulated by the Department of Business Development, Ministry of Commerce.

I/We hereby certify that the aforementioned statements are true. Should TSD incur any damage or potential damage as a result of the undertaking specified above, I/We shall be fully responsible for any damage caused and shall compensate TSD in full.

I/We have thoroughly read all the details specified in the privacy notice of the Stock Exchange of Thailand group ("SET Group") (<https://www.set.or.th/th/privacy-notice.html>) and acknowledged that my/our personal data, and any third party's personal data I/We have provided to TSD (if any) will be processed and protected under such privacy notice. In this regard, I/We hereby confirm and represent that I/We have procured such third party to read all the details specified in such privacy notice and have duly obtained a proper and lawful consent from such third party to provide the personal data of the third party to TSD.

In addition, I/We hereby acknowledge that in case of any special action (such as where fingerprint is used instead of signature) which additional information that may contain sensitive personal data is required to be submitted to TSD and such additional information is necessary for the provision of TSD service, not providing such additional information may render TSD to be unable to provide TSD service to me/us. Hence, by signing this application, I/we agree and consent that such sensitive personal data to be processed by TSD in connection with the purpose of the provision of TSD service.

Please turn page over for list of required documents

Signature \_\_\_\_\_ Securities holder  
( \_\_\_\_\_ ) Phone no. \_\_\_\_\_

**(If a proxy has been assigned, please sign as both the securities holder and the grantor)**

I/We here by authorize _____ as my/our representative, with full authority to file this application.		Stamp Duty THB 10
Signature _____ Grantor ( _____ )	Signature _____ Grantee ( _____ ) Phone no. _____	

<b>For official use only</b>	I have already checked all original identification documents
Date _____ Transaction no. _____ Checker _____	Signature _____ Officer



1. Application for amending of securities holder records with the details filled in and signed by the securities holder correctly and completely.
2. Amendment supporting documents in case of change to title/name/surname please attach:
  - ◆ certificate of change to title, name or surname, marriage certificate, divorce certificate, as the case may be; and
  - ◆ Original securities certificate (original name/surname prior to the amendment) together with the application for the issuance of new securities certificate requesting registrar to issue a new securities certificate (new name/surname as per the amendment application).
3. Identification documents of securities holder and authorized person (if any) pursuant to the type of person with the details as per the table below.
  - In case of other types of person, please ask for additional information at SET Contact Center accordingly to the contact channels further below in the application form or [https://www.set.or.th/tsd/en/download/service\\_form/20210901\\_person\\_juristic\\_EN.pdf](https://www.set.or.th/tsd/en/download/service_form/20210901_person_juristic_EN.pdf)
4. Copy of identification documents submitted to TSD may contain sensitive personal data which is not necessary for the provision of TSD service, and TSD has no intention of collecting such sensitive personal data. As a result, the document owners may proceed to cross out any part in order to cover up any sensitive personal data before submitting the identification documents containing such sensitive personal data to TSD. In case that no action is taken to cover up such sensitive personal data, TSD shall deem that you have given your consent to TSD to the collection of such sensitive personal data.

Type of person	Identification Documents only to support the request to change name/ surname	Documents for other types of requests
Individual person - Thai Nationality	<ol style="list-style-type: none"> <li>1. In case the securities holder contacts TSD personally, the original Thai national identification card (Thai ID Card) of the securities holder must be presented.</li> <li>2. In case the securities holder does not contact TSD personally, the securities holder must authorize a person to act on his/her behalf, and such person must present the original Thai ID Cards of the securities holder and its copy which has been certified as true and correct copy of the original document by the document owner.               <ul style="list-style-type: none"> <li>* If the original Thai ID Card of the securities holder cannot be presented, please attach the original of the certification document issued by the sub-district or district office, identifying to use those certified true copies instead of the original Thai ID Card (issued not more than 90 days before the date of submission as part of this application).</li> </ul> </li> <li>3. In case filing this application via registered mail, please attach,               <ul style="list-style-type: none"> <li>- Copies of Thai ID Card of the securities holder which has been certified as true and correct copy of the original document by the document owner.</li> </ul> </li> </ol>	A Copy of Thai ID Card of the securities holder which has been certified as true and correct copy of the original document by the document owner.
Individual person - Other Nationalities	<ol style="list-style-type: none"> <li>1. In case the securities holder contacts TSD personally, the original alien identification card or passport of the transferor and the transferee must be presented.</li> <li>2. In case the securities holder does not contact TSD personally, the securities holder appointed contact person must present the original alien identification card or passport and its copy which has been certified as true and correct copy of the original document by the document owner.               <ul style="list-style-type: none"> <li>* If the original passport cannot be presented or this application is being submitted by registered mail,                   <ul style="list-style-type: none"> <li>- The copy of the passport must be notarized by a notary public, and such notarization must be certified by the Thai embassy or consulate.</li> </ul> </li> <li>◆ Such certification must be issued not more than 6 months before the date of submission as part of this application.</li> <li>* If the original signatory's alien identity card cannot be presented or this application is being submitted by registered mail,                   <ul style="list-style-type: none"> <li>- Certificate of civil registration (issued not more than 90 days before the date of submission as part of this application)</li> </ul> </li> </ul> </li> </ol>	A Copy of alien identification card / passport which has been certified as true and correct copy of the original document by the document owner.
Juristic person - Thai Nationality	<ol style="list-style-type: none"> <li>1. A copy of the juristic person registration certificate issued by the Ministry of Commerce issued not more than 6 months before the date of submission as part of this application which has been certified as true and correct copy of the original document by the authorized directors.</li> <li>2. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct copy of the original document by the document owner.</li> </ol>	
Juristic person - Other Nationalities	<ol style="list-style-type: none"> <li>1. A copy of the juristic person registration certificate issued by the regulating government agency in the country where such juristic person is domiciled.</li> <li>2. A copy of the company's affidavit enumerating its directors authorized to bind the company and conditions relating thereto, showing the juristic person's headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the governmental agency in the country where such juristic person is domiciled.</li> <li>3. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct copy of the original document by the document owner.</li> </ol> <p><u>All documents for "Other Nationalities" above, must have been:</u></p> <ol style="list-style-type: none"> <li>(1) notarized by the notary public or certified by any competent authority in the country where such documents were prepared or certified for the certification of the signature of the person who prepared or certified such documents.</li> <li>(2) certified by the Thai Embassy or Thai Consulate in the country where such documents were prepared or certified for the notarization or certification performed by the public notary or such competent authority under (1).           <ul style="list-style-type: none"> <li>■ The certification must be issued not more than 6 months before the date of submission as part of this application.</li> <li>■ The documents prepared in any other foreign languages other than English must be translated into English.</li> </ul> </li> </ol>	

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